



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/826,181	04/04/2001	Mark E. Pecen	CS10742



Michael C. Soldner  
Motorola, Inc.  
Intellectual Property Section, Law Department  
600 North U.S. Highway  
Libertyville, IL 60048

CONFIRMATION NO. 2854  
FORMALITIES LETTER



\*OC00000006266386\*

Date Mailed: 07/06/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/25/2001 MABDI1 00000092 134768 09826181

01 FC:105 130.00 CH

DOCKET NO.: CS10742

## UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): PECEN, MARK E. ET AL.  
 APPLICATION NO.: 09/826,181  
 FILE DATE: 04/04/01  
 GROUP ART UNIT: 2131  
 TITLE: METHOD AND APPARATUS FOR AUTHENTICATION USING REMOTE  
 MULTIPLE ACCESS SIM TECHNOLOGY



## Mail Date

Certificate of Mailing

Date of deposit : 07/18/01

I hereby certify that this paper is being deposited with the United States Postal Service on the date indicated above, as First Class Mail, with sufficient postage attached thereto, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Signature of Person Mailing Paper

Karen M. Fenton

Printed Name of Person Mailing Paper

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Attention: Customer Service Center  
 Initial Patent Examination Division

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

Responsive to the Notice to File Missing Parts of Application dated April 4, 2001,  
 please find enclosed:

- 4 page Declaration Combined with Power of Attorney
- 1 page copy of Notice to File Missing Parts of Application
- 3 page Assignment
- 1 page Assignment Recordation Form
- sheets of formal drawings
- page Petition for One Month Extension of Time

The Commissioner is hereby authorized to charge all fees due to Account No. 13-4768. A fee transmittal is enclosed.

Respectfully submitted,

By:

MICHAEL C. SOLDNER  
 ATTORNEY of Record  
 Reg. No.: 41,455  
 Telephone: (847) 523-2585  
 Fax No.: 847/523-2350

SEND CORRESPONDENCE TO:

Motorola, Inc.  
 Law Department  
 600 North US Highway 45, AN-475  
 Libertyville, IL 60048

A12

Sector 4

#3

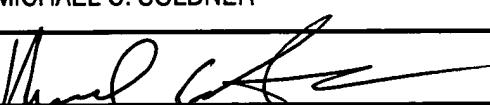
PTO/SB/21 (08-00)		Application Number	09/826,181
<b>TRANSMITTAL FORM</b> JUL 23 2001 PATENT & TRADEMARK OFFICE		Filing Date	04/04/01
		First Named Inventor	PECEN, MARK E. ET AL.
		Group Art Unit	2131
		Examiner Name	
		Attorney Docket Number	CS10742
Total Number of Pages in this Submission		Express Mail Label No.	

**ENCLOSURES**

(check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-Related papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> 4 page Declaration Combined with Power of Attorney
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> sheet(s) of formal drawings
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	<input checked="" type="checkbox"/> Copy of Notice to File
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CDs	<input type="checkbox"/> Missing Parts of Application
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Remarks	<input checked="" type="checkbox"/> Recordation Form Cover Sheet

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	MICHAEL C. SOLDNER
Signature	
Date	07/18/01

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as  
Express Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this

Express Mail Label No.:	
Typed or printed name	
Signature	

FEE  
TRANSMITTER

JUL 23 2001

Patent fees are subject to annual revision

PATENT &amp; TRADEMARK OFFICE

Complete Known

Application Number 09/826,181

Filing Date 04/04/01

First Named Inventor PECEN, MARK E. ET AL.

Examiner Name

Group Art Unit 2131

TOTAL AMOUNT OF PAYMENT

(\$170.00)

Attorney Docket No. CS10742

## METHOD OF PAYMENT

## FEE CALCULATION (continued)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number 13-4768

Deposit Account Name Motorola, Inc.

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Paid
101	710	201	365	Utility filing fee
106	320	206	160	Design filing fee
107	490	207	245	Plant filing fee
108	710	208	355	Reissue filing fee
114	150	214	75	Provisional filing fee

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	-20** =	Extra Claims	Fee from Below	Fee Paid
Independent Claims	-3** =			
Multiple Dependent		270	=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims Over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

\* Reduced by Basic Filing Fee paid

SUBTOTAL (3) (\$ 170.00)

\*\*OR NUMBER PREVIOUSLY PAID, IF GREATER. For Reissues, see above

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type) MICHAEL C. SOLDNER

Registration No. 41,455 Telephone (847) 523-2585

Signature



Date 07/18/01

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	
105	130	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late Provisional filing
139	130	139	130 Non-English specification
147	2520	147	2520 For filing a request for ex parte Reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1840*	113	1840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	390	216	195 Extension for reply within second month
117	890	217	445 Extension for reply within third month
118	1390	218	695 Extension for reply within fourth month
128	1890	228	945 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
138	1510	138	1510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1240	241	620 Petition to revive - unintentional
142	1240	242	620 Utility issue fee (or reissue)
143	440	243	220 Design issue fee
144	600	244	300 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Processing fee under 37 CFR 1.17(q)
126	180	126	180 Submission of IDS
581	40	581	40 Recording each patent assignment per property (times number of properties) \$40
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))
179	710	279	355 Request for continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application
Other fee (specify)		130	Response To Notice To File Missing \$130 Parts

\* Reduced by Basic Filing Fee paid SUBTOTAL (3) (\$ 170.00)